## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Steven Kirk Donoho et al.

Group Art Unit Not yet assigned

Serial No.: Not yet assigned

Examiner: Not Yet Assigned

Filed: October 15, 2003

For:

METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR

RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. Copies are provided. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

## **AUTHORIZATION**

No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 50-0436.

Respectfully submitted, PEPPER HAMILTON LLP

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Date: 10-15-03

PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known		
Application Number	Not yet assigned	
Filing Date	October 15.2003	
First Named Inventor	Steven Kirk Donoho	
Art Unit	Not yet assigned	
Examiner Name	Not yet assigned	
Attorney Docket Number	101050 0101	

		U.S. PATI	ENT DOCUMENTS	
Examiner Initials	Cite No. 1 Number - Kind Code² (if know.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US- 2003-0084053A1	05/01/2003	Govrin et al.	
	us- 10/341,073	01/13/2003	Zhang et al.	•
	us- 10/379,425	03/04/2003	Donoho et al.	٠
	us- 10/665,712	09/19/2003	Donoho et al.	
	us- 60/453,124	03/07/200	Donoho et al.	
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		FORE	IGN PATENT D	OCUMENTS		
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Substitute for form 1449B/PTO				Complete if Known		
				Application Number	Not yet assigned	
INFORMATION DISCLOSURE		Filing Date	October 15,2003			
STATEMENT BY APPLICANT			DDI ICANT	First Named Inventor	Steven Kirk Donoho	
STATEMENT DI APPLICANT		Group Art Unit	Not yet assigned			
	(use as many shee	ts as	necessary)	Examiner Name	Not yet assigned	
Sheet	2	of	2	Attorney Docket Number	124256.0401	

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